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Effect of backfilling surface settlement trough on waste cover leakage

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ABSTRACT

The effect of backfilling of a surface differential settlement trough to reduce leakage is explored both experimentally and numerically. The field experiment examined two lined sections each with an 11 mm-diameter hole in the liner on a nominally 4 horizontal:1 vertical slope. A 2 m by 3 m, 0.3 m deep depression was filled with a 50-50 sand-snow mixture in winter to give a continuous 4H:1V slope prior to covering with the liner and 0.3 m of cover soil. Spring thaw induced a differential settlement trough up to 0.14 m deep. A second section with a similar trough was backfilled with cover soil to reinstate the 4H:1V surface while the settlement depression in the liner remained. Over the 15 months of monitoring, the backfilling reduced leakage by 57% from a annual total of 565 L to 244 L (i.e., a 60% reduction in colder seasons, from 351.3 L to 137.8 L together with a 45% reduction in warmer seasons, from 141.8 L to 77.6 L). A 3D numerical model showed encouraging agreement with the experimental results. The model indicated an inverse relationship between leakage and slope gradient, and a direct relationship between leakage and depression depth and upgradient distance to the depression. The effect of cover hydraulic conductivity was complex.

1. Introduction

Differential settlement

A 1.5 to 2 mm-thick geomembrane (GMB) liner in waste covers is an effective component to minimize seepage from rain and snow into waste ([Rowe](#page-16-0) et al., 2004). However, the occurrence of GMB holes is a well-documented issue [\(Brachman](#page-16-0) and Eastman, 2013; [Eldesouky](#page-16-0) and [Brachman,](#page-16-0) 2018; [Gilson-Beck,](#page-16-0) 2019). It is generally assumed that a well-installed GMB will have about 2.5–5 holes per hectare [\(Giroud,](#page-16-0) [2016;](#page-16-0) Giroud and [Bonaparte,](#page-16-0) 1989, [2001;](#page-16-0) [Rowe,](#page-16-0) 1998, [2005,](#page-16-0) [2012](#page-16-0), [2020\)](#page-16-0), although for mining covers in the North, this number can easily go to about 20 holes per hectare (H. [Bremner](#page-16-0) et al., 2016). These holes can lead to leakage into the waste [\(Fig.](#page-1-0) 1a), consequently elevating leachate head above GMB liners and increasing the risk of environmental contamination ([Zhang](#page-17-0) et al., 2021).

Extensive research has been conducted on leakage through GMB liners [\(Brachman](#page-16-0) et al., 2017; [Giroud,](#page-16-0) 2016; Pandey and [Shukla,](#page-16-0) 2020; [Ramasamy](#page-16-0) et al., 2018; Rowe and [Abdelatty,](#page-16-0) 2013; [Rowe](#page-16-0) and Fan, 2021; Rowe et al., [2017b](#page-16-0); [Touze-Foltz](#page-16-0) et al., 2021; Xie et al., [2023](#page-17-0); Xu et [al.,](#page-17-0) [2018\)](#page-17-0) but the impact of differential settlement on a waste cover slope is rarely discussed. Differential settlement can arise in many ways. For instance, the leakage through a hole can result in depression (i.e., differential settlement) ([Fig.](#page-1-0) 1b), because

- (i) leakage through GMB holes may induce erosion or piping of subgrade due to washout of fine particles (Fan and [Rowe,](#page-16-0) 2022; [Stohr](#page-16-0) et al., 1988), and
- (ii) the warm fluid through holes in the winter or spring season can induce the melt of frozen subgrade or waste, which aggravates differential settlement.

Differential settlement in waste covers can also occur before the formation of GMB holes because of the uneven degradation/settlement of the waste underneath and melting of frozen waste and/or subgrade ([McDougall](#page-16-0) et al., 2018; [Zhu\)](#page-17-0). As a result, the strain induced by differential settlement could lead to defects/holes in GMB due to stress cracking in the long term (Fan et al., [2021](#page-16-0); [Rowe](#page-16-0) and Yu, 2019), which increases the differential settlement [\(Fig.](#page-1-0) 1 b). This detrimental cycle emphasizes the significance of quantifying the leakage through a hole/defect that can be caused due to differential settlement.

Given the strong influence of field climatic factors like temperature and rainfall on leakage [\(Williams,](#page-17-0) 2008), Fan et al. [\(2024\)](#page-16-0) conducted field experiments with Sections A and B on a slope to simulate waste covers and showed the leakage through an 11 mm-diameter hole in GMB can be increased by 50-fold due to a 3 m \times 2 m \times 0.12–0.17 m depression in Section B compared to Section A without a depression. This finding confirmed the significant effect of differential settlement on

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leakage but did not address the potential effect of repair. A case study by Scalia et al. [\(2017\)](#page-16-0) showed a repair approach that included removing cover materials to the subgrade, repairing the soil barrier, installing new geosynthetics, and replacing the overlying earthen cover materials according to design specifications. This approach, though potentially effective, is time-consuming and costly, making it particularly challenging for cold regions with permafrost [\(Buslaev](#page-16-0) et al., 2021; [Subbotin](#page-16-0) et al., [2022](#page-16-0)) or remote regions with limited/restricted access to equipment [\(Keske](#page-16-0) et al., 2018), and for waste facilities with radioactive waste with health hazards ([Butler,](#page-16-0) 2002; [Nyhan,](#page-16-0) 2005). As an alternative, a potential cost-efficient approach is repairing by filling the surface trough without excavating once a trigger depth of trough is reached (Fig. 1c). This approach is widely practiced for maintaining and repairing pavement, and the leakage is likely to be reduced, but the quantification of the effect is needed. Thus, a new field experiment section, Section C, which features an identical depression beneath the GMB as Section B but with the surface trough repaired is needed. This new section aims to quantify the effect of filling the surface trough so that this approach can be evaluated for waste cover maintenance and repair.

Fan et al. [\(2024\)](#page-16-0) observed that Section B, a waste cover slope (4H:1V) with a depression (0.12–0.17 m deep), experienced 281 L of leakage following 284 mm of precipitation between November 2022 and February 2023, whereas only 142 L of leakage from 537 mm of precipitation between June and September 2022. The pattern of increased leakage during colder temperatures aligns with the observations of seasonal soil moisture variations reported by Weeks and [Wilson](#page-17-0) (2005). This observation can be attributed to the less evaporation, and counterintuitively, the lower hydraulic conductivity which is sensitive to the temperature-dependent viscosity [\(Hopmans](#page-16-0) and Dane, 1986). The leakage decreases with lower hydraulic conductivity due to increased resistance to downslope flow and prolonged water head above the hole (Fan et al., [2024](#page-16-0)). While this finding is significant, the relationship between different typical hydraulic conductivities of sand material and leakage remains unquantified. Moreover, the previous experiment

Fig. 1. Schematic showing (a) the scenario with a hole in the geomembrane of very limited leakage on a 4H:1V slope; (b) the notable increase in leakage that occurs with differential settlement; (c) surface trough being repaired and relevelled

placed the GMB hole 300 mm from the depression's bottom contour; however, strain could position the hole at the lowest contour, leaving the impact of hole locations unexplored. Additionally, the results from specific geometry (cover thickness, depression depth) in the experiment may not be applicable to wider waste cover scenarios, necessitating parametric studies to provide more generalized design guidance for waste covers under various field conditions.

The first objective of this paper is to quantify how much leakage through a GMB hole can be reduced by repairing (filling) a surface trough on a 4H:1V slope. This quantification will provide insights to cost-effective strategies for mitigating differential settlement in waste covers. The second objective is to calibrate numerical models with field data so that parametric studies can be conducted to investigate the effect of hydraulic conductivity, depth and location of differential settlement, location of GMB holes, and the gradient of the slope. These numerical results will broaden the applicability of leakage prediction to various

waste cover designs, providing practical guidance for designing effective waste covers from the perspective of leakage control.

2. Field experiment

2.1. Location and design

The field experiments for this study were conducted at the Queen's University Environmental Liner Test Site (QUELTS), located 40 km northwest of Kingston, Ontario, Canada, at coordinates 44◦34′N and 76◦39′W. In preparation for the field test, a thorough survey was conducted, resulting in the selection of a south-facing slope area measuring approximately 8 m wide and 16 m long (Fig. 2).

A 4H:1V (14◦) slope was prepared to replicate a typical design for waste cover slope (Stark and [Newman,](#page-16-0) 2010). The GMB installed had a typical 11 mm-diameter circular hole (Giroud and [Bonaparte,](#page-16-0) 1989) in each section, positioned about 5 m down-slope from the crest. The three sections, each 4.9 m wide and extending 8.1 m along the 4H:1V slope, were excavated in silty sand, following the placement of GMB and a top layer of cover soil. Construction was conducted in February with temperatures below freezing, and there was ample local snow to facilitate construction of Section C as described below.

Sections A and B were previously described by Fan et al. [\(2024\)](#page-16-0). The focus of this paper is on Section C, although comparisons will be made with the performance of Sections A and B (Figs. 2–4). All three sections were designed to be nominally identical except as detailed below.

- (A) Reference Section A was built to evaluate leakage through a GMB hole on a 4H:1V slope without differential settlement.
- (B) Section B was built with a dish-shaped depression (3 m wide, 2 m long, and 0.3 m deep), backfilled with a snow/sand (1:1 by volume) mixture to bring the slope back to a uniform 4H:1V slope [\(Fig.](#page-4-0) 4b). The GMB layer was placed over both the slope and snow/sand mixture. Post-snowmelt, a 0.15m depression developed below the GMB and was reflected on the surface as a dishshaped trough ([Fig.](#page-4-0) 4c) arising from the differential settlement due to the melting of the snow in the snow/sand mixture.
- (C) Section C was built such that initially it had a dish-shaped depression identical in depth to that developed after snowmelt in Section B (3 m wide, 2 m long, and 0.15 m deep), with the GMB placed on the base of the slope and depression [\(Fig.](#page-4-0) 4b). Unlike Section B, Section C's cover soil surface was releveled, representing a waste cover that had been repaired by backfilling with

the original soil material to re-establish the original slope following differential settlement.

In this study, the term "surface trough" specifically refers to the depression observed on the surface after snowmelt in Section B [\(Fig.](#page-4-0) 4c), differentiating it from the depression beneath the GMB layer. The design of the depression shape was informed by previous studies on differential settlement [\(Maurice,](#page-16-0) 2002; [Scalia](#page-16-0) et al., 2017; [Warith](#page-17-0) et al., 1994). A geotextile with an apparent opening size of 0.21 mm and high permeability was used above the GMB hole to prevent sand intrusion and clogging.

The cover soil, a poorly graded medium-to-fine sand (ASTM [D2487,](#page-16-0) [2011\)](#page-16-0), was analyzed by sieve analysis (ASTM [D422-63,](#page-16-0) 2007). Under the same compaction condition as the field, laboratory measurements of porosity and saturated hydraulic conductivity of the cover soil were measured (ASTM [D5084](#page-16-0) - 16a, 2016) [\(Table](#page-4-0) 1).

Instead of the typical 1.5-mm or 2 mm-thick high density polyethylene (HDPE) GMB, a 0.15 mm vapour barrier was used because of its flexibility which allowed convenient placement following the contours of the depression in Section C under cold weather conditions. The thinner vapour barrier allowed minimizing construction wrinkles compared to the traditional HDPE GMB (Rowe and [AbdelRazek,](#page-16-0) 2019; [Touze-Foltz](#page-16-0) et al., 2021; Xie et al., [2023](#page-17-0)). The vapour barrier's strength and interface friction angle with sand were tested to ensure they met the experimental requirements before the field experiment [\(Fan](#page-16-0) et al., [2022\)](#page-16-0).

2.2. Construction details

Similar to the construction of Sections A and B (Fan et al., [2024](#page-16-0)), Section C was constructed following the steps summarized below in February 2022.

- Clearing snow and excavating frozen soil to create a 4H:1V slope.
- Removing protrusions that could damage the GMB and smoothing the surface.
- Excavating a 3 m \times 2 m \times 0.15 m depression [\(Fig.](#page-5-0) 5).
- Installing plumbing for leakage collection, including connection pipes for transferring water from the GMB hole to the flow gauge in Section C.
- Placing a GMB layer with a hole above the slope, ensuring that any wrinkles and gaps in the interface were minimized.

Fig. 2. Constructed sections A, B and C.

Fig. 3. Plan View schematic of Sections A, B and C.

- Placing a 0.3 m layer of cover soil (sand) over the GMB and installing the gravel pile at the foot of the sections for drainage and slope support.
- Shaping a 2-m-wide crest with a 3% slope to the north.
- Conducting an as-built survey during construction (Figs. 3 and 4).
- Installing instrumentation, including a rain gauge, three flow gauges, and four soil volumetric water content reflectometers, that were connected to a data acquisition system located at the toe of the slope on June 30, 2022.

2.3. Inspection

After the snowmelt in April 2022, Sections A and B were inspected and surveyed to confirm the location of the GMB holes. The depression depth (GMB layer) close to the hole in Section B was surveyed again in December 2022 and found to be between 0.12 m and 0.17 m while the maximum depth of the surface trough was surveyed to be 0.14 m ([Fan](#page-16-0) et al., [2024\)](#page-16-0).

During construction in February 2022, the GMB hole at Section C was placed 2 cm above the base of the depression due to the thickness of pipe connections[\(Fig.](#page-5-0) 6). The maximum depression depth was 0.45 m. The distance from the hole to the cover soil surface was 0.43 m. During each data collection visit, it was inspected and no vegetation was present on any of the leakage sections.

3. Experimental data and discussion

The continuous leakage data corresponding to the precipitation, calibrated volumetric water content, and temperature are shown in [Fig.](#page-6-0) 7. For each period featuring rainfall events, the corresponding leakage data are detailed from [Figs.](#page-6-0) 8–12 (the numerical results will be discussed later) and [Tables](#page-9-0) 2 and 3. [Fig.](#page-10-0) 13 and [Table](#page-10-0) 4 display the monthly leakage data, corresponding to precipitation and the average soil temperature that was measured 15 cm above the hole in Sections B and C [\(Fig.](#page-5-0) 6a).

3.1. Observations: March 2022 to June 2022

Due to the availability issues of some instruments, the data were recorded during each field site visit [\(Table](#page-9-0) 2) at first. Leakage was collected and manually measured, rainfall was measured using a wireless rain gauge, and temperature was measured at the bottom of the snow/sand mixture and at the GMB layer using thermocouples. Supplementary rainfall and temperature data were obtained from a local weather station located in Hartington, approximately 16.1 km from QUELTS [\(Government](#page-16-0) of Canada, 2024).

No rainfall or leakage data was recorded during construction between mid-February and the end of February. From March to June 2022, there was no significant leakage in any sections except for period 12 ([Table](#page-9-0) 2). One day before period 12 (period 11), it is likely that12 mm of rainfall was mostly stored in soil. On June 8, 2022 (period 12) there was an additional 25 mm of rainfall that resulted in 5.6 L of leakage in Section B but only 0.728 L in Section C. This difference can be attributed to the absence of a surface trough in Section C, which will be discussed later.

3.2. Observations: July 2022 to October 2022

On June 30, 2023, the instrumentation (a scientific rain gauge, tipping bucket flow gauges, and soil water content reflectometers) with dataloggers were installed to record data. This setup was tested and calibrated in a laboratory before installation. In Sections B and C, the volumetric water content was measured by water content reflectometers positioned 15 cm above the hole [\(Fig.](#page-6-0) 7).

The representative periods with leakage are shown in [Table](#page-9-0) 3. Section C (surface repaired) consistently had lower leakage and lower *VWC*₀ than Section B (surface trough). In period 16 (July 12, 2022) and period 17 (July 18, 2022), the leakage measured in Section C was less than 5% of the leakage measured in Section B. This indicates that the precipitation (25.4 mm–29.6 mm) had just exceeded the threshold required to surpass the field capacity (the upper limit of volumetric water content of soil after excess water has drained) of cover soil in Section C and resulted in only a minor amount of leakage. The same amount of precipitation

Fig. 4. Cross sections of the field GMB leakage experiments: (a) Section A; (b) Section B initial condition; (c) Section B after snowmelt; (d) Section C.

Table 1 Properties of Poorly graded medium-to-fine sand cover soil.

Property	Value
Measured:	
Porosity	27%
Saturated k_{elobal} (k_{ref}) (m/s) at 21.9 °C	2.2×10^{-4}
Dry density of soil (kN/m^3)	17.9
D_{10} (mm)	0.16
D_{60} (mm)	0.7
D_{85} (mm)	2
Unified Soil Classification	SP
Assumed:	
Maximum suction (kPa)	1000
Residual volumetric water content	5%
Compressibility (kPa)	Ω

resulted in 4.4 L–12.7 L more and earlier leakage in Section B than Section C. This implies that the additional soil used to backfill the surface trough increased the water storage capacity above the hole in Section C and raised the threshold compared to Section B (see arrow pointing to periods 16 and 17 in [Fig.](#page-6-0) 7, showing the different *VWC* between Sections B and C). An additional 38 mm of rain in period 18 (July 24–25, 2022) pushed Section C to its field capacity and once this (higher) threshold was reached it also leaked in period 19 (45 mm on August 21, 2022). Leakage was observed at all three sections during period 20 (August 22-23, 2022), with even higher precipitation (114.6 mm) and the difference between Sections B and C narrowed compared to periods 16 and 17 [\(Table](#page-9-0) 3).

Observing the leakage in the three sections before and after the rainfall event in period 20, two different mechanisms affecting leakage for Section C can be identified. The first has already been discussed above and that is storage capacity, the backfilling of the surface settlement trough at Section C increases storage capacity, thereby increasing the threshold of water required to induce leakage and consequently reducing leakage significantly during rainfall events that did not reach that threshold. In short, the additional storage due to backfilling at Section C substantially reduces the number of rainfall events that can contribute to leakage relative to Section B. The second mechanism that becomes apparent is the size of the collection zone. At Section B, there are depressions both below the cover soil and above the cover soil, but at Section C, there is only a depression below the cover soil. At section A, there is no trough so the storage of section A is essentially the same as at Section B, thus the difference in leakage between Sections A and B may be attributed to a combination of effect of the trough above and below the cover soil. Once the threshold is reached, the difference between the leakage at Sections B and C can be largely attributed to the surface trough above the cover soil. Specifically, the leakage in period 20 suggests that, until a local flood (discussed below) interrupted measurement, approximately 5.3 L (27.7 L in Section B - 22.4 L in Section C) or 19% of leakage at Section B is due to the surface trough, and 12.7 L (22.4 L in Section C - 9.7 L in Section A) of leakage at Sections B and C (46% of leakage at B and 57% of leakage at C of the total leakage) was due to the depression below the cover soil, with the remaining 9.7 L (35% of leakage at B and 43% of leakage at C) being what would be expected to leak in the absence of differential settlement (Section A).

The rainfall events in period 20 (August 22 to 23, 2022), with a total of 114.6 mm of precipitation and a maximum precipitation rate of 38 mm/h gave rise to a local flood with the water level in the sumps causing the tipping bucket flow gauges to become submerged beneath the water table and consequently interrupting data collection until the water table receded ([Fig.](#page-7-0) 10). The gauges became functional again once the flood subsided.

3.3. Observations: November 2022 to February 2023

From period 22 to 28 (November 30, 2022, to February 15, 2023), leakage collected in Section C was consistently lower, ranging from 0.5% to 66% of leakage in Section B ([Table](#page-9-0) 3). The maximum leakage occurred in Section B (99.8 L) in period 24 (from December 30, 2022, to January 1, 2023), while the leakage measured in Section C was only 28.9 L.

Although there was less measured precipitation from November 2022 to February 2023 (a total of 284.4 mm) than from July to October 2022 (a total of 448.4 mm) ([Table](#page-10-0) 4), the total leakage of both Section B and Section C from November 2022 to February 2023 (Sec. B of 281.3 L vs Sec. C of 114.6 L) was larger than that from July 2022 to October 2022 (Sec. B of 136.1 L vs Sec. C of 76.8 L) [\(Table](#page-10-0) 4, [Fig.](#page-10-0) 13). This can be explained by the lower hydraulic conductivity and less evaporation in colder temperatures (Fan et al., [2024.](#page-16-0)). Similar observations have been reported by [Wilson](#page-17-0) et al. (1993) and Weeks and Wilson [\(2005\).](#page-17-0)

The soil temperatures measured in both sections were consistently above 0 ◦C, even with the lowest daily average air temperature of − 23.3 $°C$ (February 3, 2023, in [Fig.](#page-6-0) 7). The observation of persistent soil temperature above $0 °C$ is consistent with other measurements at QUELTS where the temperature of the geomembrane in winter rarely

Fig. 5. Section C prior to placement of GMB and cover soil.

(b) Sec C west-to-east direction

Fig. 6. Survey data points for Section C in cross-section view at the intersection with the hole.

went below 0 ℃ with cover soil or snow over the geomembrane ([Take](#page-16-0) et al., [2015\)](#page-16-0). The soil temperature was consistently above 0 ◦C in both sections because snow and sand are good insulators to maintain the temperature. As a result, the water could flow through the cover sand and cause leakage even at an extreme low air temperature. The effectiveness of this mechanism at QUELTS with 0.3 m of cover soil could be sustained for about 2 months consistently below −20 °C. In other locations where either the temperature is substantially lower than −20 $^{\circ}{\rm C}$

Fig. 7. Instrumentation measurements of precipitation, leakage, and volumetric water content from July 2022 to April 2023.

Fig. 8. Leakage over time following 38 mm rainfall event since July 24 (Period 18).

or it is sustained at − 20 ◦C for a substantially longer time, freezing can be expected to migrate deeper and eventually stop the flow.

3.4. Discussion of observations

3.4.1. Effect of precipitation on VWC and leakage

Before December 2022, the precipitation (rainfall) always resulted in the increase of volumetric water content but did not necessarily trigger leakage (Fig. 7). This is because the leakage would not occur until the amount of precipitation surpasses the threshold to raise the volumetric water content (*VWC*) of the sand close to the hole to the field capacity (close to the soil porosity of 27% in Fig. 7). For example, on October 13, 2022, the precipitation raised the *VWC* by around 7% in Section B without inducing any leakage because the post-rainfall *VWC* was below field capacity. The initial water content (*VWC*₀) before rainfall is also a significant factor that influenced leakage. For example, in time period 21 (September 19 to 20, 2022), although the precipitation (24.6 mm) was close to that of period 16 (25.4 mm on July 12, 2022), the leakage in Sections B and C in period 21 was much larger because the measured $VWC₀$ in period 21 is 10.6% higher than period 16 based on Section B measurement (Table 3). This demonstrates the importance of considering *VWC*₀ when predicting leakage behaviour.

Since December 2022, not all the precipitation increased the *VWC* (Fig. 7), this is because the snowfall could accumulate on the surface of the cover without melting during periods of below-freezing temperatures. Winter inspections revealed that the snowpack above the cover mirrored the surface trough shape in Section B when snow was absent, indicating the difference of snow thickness above the surface trough is negligible compared to other areas in the section. The snowpack would eventually melt and increase the *VWC* in the cover soil as the temperature increased above 0 ◦C. For instance, despite no precipitation (February 14 to 15 in Period 27 in [Table](#page-9-0) 3), the air temperature rose up to 12 ◦C and led to snowmelt from previous snow accumulations. This resulted in leakage in both Sections B and C.

Fig. 9. Leakage over time following 45 mm rainfall event since August 21 (Period 19).

Fig. 10. Leakage over time following 114.6 mm rainfall event since August 22 (Period 20) [monitoring equipment malfunction after 10 h due to a local flood].

3.4.2. Seasonal effect on leakage difference between sections B and C

The difference in the leakage between Sections B and C [\(Table](#page-10-0) 4, [Fig.](#page-10-0) 13) is seasonal. Given an equivalent time of five months, the total leakage in Section C (77.6 L) was 55% of that in Section B (141.8 L) from June to October 2022 (average soil temperature of 19 ◦C), but this ratio dropped to 39% (351.3 L in Section B vs 137.8 L in Section C) from November 2022 to March 2023 (average soil temperature of 2 ◦C). This indicates that filling the surface trough was effective in reducing the leakage in both seasons, and particularly effective during cold temperatures in the experiment. The results suggest that the benefits of filling the surface trough are not limited to warm regions but are also effective in cold regions experiencing extended periods of below-freezing temperatures.

4. Summary

Over the 15-month period and a total precipitation of 1260 mm, the total leakage in Section C was 244 L, which is 43% of that in Section B (565 L), demonstrating that filling a surface trough (roughly $3 m \times 2 m x$ 0.14 m) can substantially reduce the leakage. Additionally, increased leakage through a hole typically has a higher risk of washing out fine particles from the subgrade and causing higher levels of local settlement. Therefore, once a surface trough reaches certain thresholds (e.g., $3 \text{ m} \times$ $2 m \times 0.14$ m in this study), it is recommended to undertake remediation to manage surface settlements, such as backfilling the surface trough.

5. Numerical modelling

Transient finite element seepage analyses of the three sections were conducted using the SEEP 3D program ([GeoStudio,](#page-16-0) 2021) for several percolation events. Alternative software such as HYDRUS [\(Benson](#page-16-0) et al., [2007;](#page-16-0) [Breitmeyer](#page-16-0) et al., 2019) could also have been used. Each package has strengths and weaknesses. The GeoStudio software was selected here because it is widely used by consultants due to its broad range of geotechnical engineering capabilities (e.g., integration with SLOPE/W and CTRANS) which facilitates potential future slope stability analyses. Also, SEEP 3D had been validated using previous experimental data from Sections A and B (Fan et al., [2024\)](#page-16-0). This paper will continue to use the validated 3D model and primarily focus on the comparison between Section B and Section C. The numerical analysis aims to help understand the differences between the two sections and investigate additional factors affecting leakage.

5.1. Assumptions

To optimize the numerical modelling process and improve efficiency, the modelling was conducted subject to the following assumptions.

• The cover soil (sand) is isotropic and homogeneous, except where otherwise noted, with the properties given in [Table](#page-4-0) 1. The sand experienced no reduction in volume (zero compressibility) for the modelled leakage events since July 2022, 4 months after construction.

Fig. 11. Leakage over time following 24.6 mm rainfall event since September 19 (Period 21).

Fig. 12. Leakage over time following 23.1 mm rainfall event since November 30 (Period 22).

- The initial water content $(VWC₀)$ of cover soil before the modelling of each rainfall event is spatially homogeneous [\(Table](#page-11-0) 5).
- The soil temperature is the average reading recorded during the modelled event.
- The cover soil within five-hole diameters from the hole had experienced a washout of fine particles (Fan et al., [2024](#page-16-0); [Rowe](#page-16-0) and Fan, [2021](#page-16-0)), giving rise to an increased local hydraulic conductivity (*klocal* as discussed later).
- The geometry of the depression and the location of the hole in Section C are based on the corresponding survey data ([Fig.](#page-5-0) 6).
- Precipitation is consistent across all three sections, showing no spatial variation.
- There are no wrinkles in the GMB in the sections analyzed.
- Leakage is defined as the fluid entering the hole.

5.2. Model details

5.2.1. Model parameters

The saturated hydraulic conductivity (*k*) modelled for different rain events was calculated as a function of the viscosity of water related to temperature [\(Hopmans](#page-16-0) and Dane, 1986):

$$
k_T / k_{\text{ref}} = \mu_{\text{ref}} / \mu_T \tag{1}
$$

where k_{ref} and k_T are the saturated hydraulic conductivity of the cover soil at the reference temperature in the laboratory (21.9 °C) and measured soil temperature in the field, respectively. *μref* and *μ^T* are the viscosity of water at the reference temperature (21.9 ◦C) and measured soil temperature respectively, using the empirical relationships provided

in the CRC Handbook of Chemistry and Physics (Lide, [2005\)](#page-16-0). The different saturated *k* values for different cover soil temperatures monitored during each modelled rainfall event were used accordingly ([Table](#page-11-0) 5).

Within the material definition interface in the software, the volumetric water content function (*VWC* vs suction) was derived based on the built-in standard sample function for sand and its particle size distribution [\(Aubertin](#page-16-0) et al., 2003). The hydraulic conductivity (*k*) function (unsaturated *k* vs suction) of the cover soil was obtained using the built-in Van Genuchten model (Van [Genuchten,](#page-17-0) 1980), in conjunction with the volumetric water content function. The relevant soil parameters input are listed in [Table](#page-4-0) 1. More details about the cover soil are elaborated in Fan et al. [\(2024\),](#page-16-0) where the same sand was used.

Rowe and Fan [\(2021\)](#page-16-0) showed that a 3% reduction in fine content around a hole in the liner could double leakage. Consequently, the soil samples from the field, where particles finer than 0.2 mm had been washed out (a geotextile with an apparent opening size of 0.21 mm was placed above the hole), were tested in the laboratory. These tests showed that the saturated $k_{local} = 1.4 \times 10^{-3}$ m/s. This indicates that k_{global} (k_{ref}) $= 2.2 \times 10^{-4}$ m/s could increase to $k_{local} = 1.4 \times 10^{-3}$ m/s for the local soil within five diameters of the hole at 21.9 ◦C if the fine particles (*<*0.2 mm) are all washed out (Fan et al., [2024](#page-16-0)). The *klocal* (*kref*) values started same as *kglobal* (*kref*) and increased over time with more leakage washout ([Table](#page-11-0) 5). Thus, Section B with the larger flow (leakage) and consequent earlier washout of mobile fines exhibited higher *klocal* (*kref*) compared to Section C during periods 18 to 20. However, the saturated *klocal* (*kref*) across all three sections stabilized at 1.1×10^{-4} m/s in periods 21 and 22 ([Table](#page-11-0) 5), likely due to the mobile fines having been lost and the rest having established a stable structure.

Table 2

Manually monitored leakage and climate data: February to June 2022.

^a The rainfall was measured using an on-site wireless electronic rain gauge.

^b The average air temperature for each period was sourced from the local weather station (located in Hartington, approximately 16.1 km from QUELTS). The temperatures were measured upon each visit, using a thermocouple located at the base of the sand/snow mixture in Section B and a thermocouple directly beneath the GMB of Section B.

^c Sections B and C was being constructed during this period, so no data was collected.

^a The initial volumetric water content (*VWC*₀) was measured 15 cm above the hole (see [Fig.](#page-5-0) 6). The *VWC*₀ measurements are accurate to within ± 3 %, reflecting the range of potential deviation from the true value.

^b Precipitation, including both rain and snow, was measured using a scientific rain gauge since June 30, 2022.

^c The maximum and minimum air temperatures were obtained from the same local weather station. The average soil temperature was calculated based on the measurements by soil water content reflectometers installed in July 2022.

Because *k* values decrease when the soil is not fully saturated, the volumetric water content function and the hydraulic conductivity function of the soil are defined based on validated settings for the same soil material at this site (Fan et al., [2024](#page-16-0)). The soil property inputs needed for the program (e.g., maximum suction and residual volumetric water content) are shown in [Table](#page-4-0) 1.

5.2.2. Boundary conditions

The boundary conditions are illustrated in [Fig.](#page-11-0) 14. Each of the three sections was subjected to identical precipitation boundary conditions. Due to the inability of the 3D version of the program to calculate surface runoff directly, the measured precipitation was initially applied to the slope surface within the 2D model to calculate surface runoff so that the net infiltration can be applied in the 3D models (Fan et al., [2024](#page-16-0)). However, the 2D model results showed no surface runoff for any of the rain events at QUELTS. For the hole and the downslope area of the cover

sand, the boundary conditions were achieved by activating the 'Potential Seepage Face Review' option in the 3D program. This feature allows the software to dynamically identify where seepage (outflow) might occur on the modelled surface.

5.3. Modelling results and discussion

The numerical analysis covered five representative events (periods) featuring rain events from July to November 2022 [\(Table](#page-11-0) 5). Since the software lacks the capability to simulate the coupled effect of infiltration from snowfall, snowmelt, and rainfall, scenarios involving snow precipitation are beyond the scope of this paper.

5.3.1. Numerical results vs experimental data

The results of numerical analyses are in good agreement with observed leakage during rainfall events for all three sections,

Fig. 13. Monthly precipitation, soil temperature and leakage measurements.

Table 4 Monthly leakage summary over a 15-month period.

9 Data for May 2023 is from May 1st to May 14th only.

The rain and snow precipitation from the local weather station are provided respectively for comparison.

^b Average air and soil temperatures were obtained from the local weather station and measurements from soil water content reflectometers, respectively. Data before July is unavailable due to limited access to soil reflectometers.

corresponding to the 38 mm rainfall in time period 18 (July 24 to 25, 2022) in [Figs.](#page-6-0) 8 and 45 mm in time period 19 (August 21, 2022) in [Figs.](#page-7-0) 9 and 114.6 mm in time period 20 (August 22 to 23, 2022) in [Figs.](#page-7-0) 10 and 24.6 mm in time period 21 (September 19 to 20, 2022) in [Figs.](#page-8-0) 11, and 23.1 mm in time period 22 (November 30 to December 1, 2022) in [Fig.](#page-8-0) 12. The numerical results successfully replicate the observed pattern of leakage, which consistently occurred in the sequence of Sections B, C, and A. The slight discrepancies between the modelling results and experimental data can be attributed to the assumptions outlined in Section 4.1, along with the inherent limitations in the precision and accuracy of the field construction, instrumentation, and survey measurements.

5.3.2. Effect of discrepancies in geometries

Although experimental data revealed a substantial $(\sim 2\text{-fold})$ difference in leakage between Section B and Section C, this difference cannot be entirely attributed to the surface trough, given the discrepancies in geometries and hole locations between the two sections due to construction limitations. For example, [Fig.](#page-3-0) 3 shows that the distance between the hole and the depression lower bound is different (300 mm in Section B vs 200 mm in Section C). Consequently, an idealized Section C_1 ([Table](#page-11-0) 5), mirroring Section B's geometries but without the surface trough, was compared with Section C for period 19 to assess the sensitivity of leakage data to these geometric differences. The numerical results between Section C_1 [\(Fig.](#page-7-0) 9) and Section C indicate that geometrical variations account for only about 10% of the difference in leakage and infer that the effect of the surface trough is likely about 10% greater than implied by the experimental data.

5.3.3. How surface trough and depression affect leakage

The accumulated downslope water flux in period 19 was numerically simulated ([Fig.](#page-11-0) 15) with attention focused on the nodes at the bottom of the cross-section surface located 1.3 m upslope from the hole (dotdashed line in [Fig.](#page-5-0) 6a). In both Sections B and C, higher water flux is observed through the depression area (− 1 *<* x *<* 1 m). Quantitatively, in both Sections B and C, the numerical output showed around 45% of the total flux was concentrated through the depression area, with an identical catchment width of approximately 2.25 m (45% of the total 5 m). This width exceeds the physical depression width of about 2 m (dashed line in [Fig.](#page-11-0) 15), indicating that infiltration from outside the depression width is channeled into it, drawn by the lower water pressure head.

Sections B and C displayed identical catchment widths (2.25 m). However, Section C consistently shows less water flux across the entire 5-m section width due to its lower initial volumetric water content (*VWC*0) [\(Fig.](#page-6-0) 7). Therefore, Section C, compared to Section B, experiences less leakage due to the additional soil above the hole, which leads

Table 5 Numerical modelling parameters and results.

^a The viscosity was derived from the measured soil temperature using the empirical equation (Lide, [2005\)](#page-16-0).

^b The k_{global} and k_{local} at field temperature (k_T) for saturated soil were calculated using equation [\(1\)](#page-8-0) with $k_{ref} = 2.2 \times 10^{-4}$ m/s obtained from a laboratory test at 21.9 ◦C. *kglobal* was utilized for modeling the hydraulic conductivity of the cover soil, except within a region spanning five diameters from the hole. Conversely, *klocal* was used specifically within this five-diameter region from the hole.

to a lower *VWC*⁰ after each rain event. Section A, as the base case, showed a relatively stable water flux across the slope, indicating the catchment width around the hole is roughly equivalent to the hole diameter (11 mm), which accounts for the minimal leakage recorded in Section A.

5.4. Parametric study

Parametric studies were conducted using the model parameters from Sections B and C in period 19 (45 mm precipitation on August 21, 2022) as a base set of parameters (Table 5). Variations from this base set were analyzed to assess the impact of various factors and to enhance understanding in these key areas: i) hydraulic conductivity, ii) depression depth, iii) hole and depression location, and iv) slope gradient ([Table](#page-12-0) 6).

5.4.1. Hydraulic conductivity (kglobal)

Three cover soil hydraulic conductivity (*kglobal*) values for the sand cover soil were examined: (i) *k*_{*global* @ 21.9°C–2.2 × 10⁻⁴ m/s measured} for the sand used in the field, and two *kglobal* values derived using Hazen's equation, $k \text{ (m/s)} = 0.01 \cdot (d_{10})^2 \text{ (Hazen, 1893)}$ $k \text{ (m/s)} = 0.01 \cdot (d_{10})^2 \text{ (Hazen, 1893)}$ $k \text{ (m/s)} = 0.01 \cdot (d_{10})^2 \text{ (Hazen, 1893)}$, for two representative d_{10} (in mm) values, where d_{10} is the particle diameter below

Fig. 15. Water flux distribution across the slope (west-to-east direction).

Fig. 14. 3D numerical model configuration and boundary conditions.

Table 6 Summary of parametric model results.

which 10% of the mass is finer, namely: (ii) $d_{10} = 0.05$ mm giving k_{global} $= 2.5 \times 10^{-5}$ m/s and (iii) $d_{10} = 0.32$ mm giving 1×10^{-3} m/s.

The lowest k_{global} (2.5 \times 10^{-5} m/s) gave the largest leakage (63.4 L; Fig. 16) and this is consistent with the finding that lower *k* due to lower sand temperatures resulted in higher leakage (Fan et al., [2024\)](#page-16-0). The right y-axis in Fig. 16 shows the water pressure head (above the hole) and the brown curves indicate the duration during which leakage occurred. Despite a lower peak water pressure head for $k = 2.5 \times 10^{-5}$ m/s compared to $k = 2.2 \times 10^{-4}$ m/s, the duration of positive water pressure is much longer (72 h for $k = 2.5 \times 10^{-5}$ m/s vs 13 h for $k = 2.2$)

Fig. 16. Effect of hydraulic conductivity (*kglobal*) on leakage and water pressure head.

Fig. 17. Effect of soil hydraulic conductivity (*kglobal*) and differential settlement depth on: (a) leakage, and (b) maximum water pressure head and duration of positive water pressure head above the hole for Section B.

Fig. 18. Effect of settlement depression depth on leakage with different soil hydraulic conductivity (*kglobal*).

Fig. 19. Effect of upgradient slope length on leakage with different soil hydraulic conductivity (*kglobal*).

 $\times 10^{-4}$ m/s). This extended duration is attributed to the sand with lower *k* enhancing resistance to downslope flow and extending local water retention above the hole in the depression.

Analyses were also performed for cover soil with 1 \times 10^{-7} $\text{m/s} <$ k_{global} ≤ 1 × 10⁻³ m/s) [\(Fig.](#page-13-0) 17a). For 1 × 10⁻⁵ < k_{global} < 1 × 10⁻³ m/s, leakage was almost inversely related to log(*kglobal*). Conversely, for *kglobal <* 1 × 10[−] ⁵ m/s, leakage decreased as log (*kglobal*) and decreased and became essentially negligible for $5 \times 10^{-7} < k_{global} < 2 \times 10^{-6}$ m/s, depending on the depth of the settlement trough. However, these results assume the soil cover is homogenous and intact. Unfortunately, for cover with k_{global} < 1×10^{-5} m/s, such as clay and manufactured geosynthetic clay liners (GCLs), the likelihood of desiccation cracks increases and

Fig. 20. Effect of hole location on leakage with different soil hydraulic conductivity (*kglobal*).

Fig. 21. Effect of slope gradient on leakage with different soil hydraulic conductivity (*kglobal*) in Section C.

preferential flow through cracks or chemical interactions can become the dominant factor in increasing *kglobal* and leakage [\(Albright](#page-16-0) et al., [2006a,](#page-16-0) [2006b](#page-16-0); [Benson](#page-16-0) et al., 2018). Specifically, with insufficient cover soil and poor selection of manufactured geosynthetic clay liners (GCLs), a loss of swelling capacity, wet-dry and/or freeze-thaw cycles and preferential bundle flow can increase the GCL hydraulic conductivity by up to 6 orders of magnitude ([Bradshaw](#page-16-0) et al., 2013; [Hosney](#page-16-0) and Rowe, [2014a;](#page-16-0) [Hosney](#page-16-0) and Rowe, 2014b; Rowe et al., [2017a;](#page-16-0) [Rowe](#page-16-0) et al., 2019; [Rowe](#page-16-0) et al., 2023; Scalia IV and [Benson,](#page-16-0) 2011); These phenomena with increased *kglobal* are beyond the assumption and scope of this paper.

[Fig.](#page-13-0) 17b illustrates that the duration of the water pressure head increases as *kglobal* decreases, explaining why leakage also increases for k_{global} < 1 × 10⁻⁵ m/s. However, as the water pressure head starts to decrease at $k_{global} < 2.2 \times 10^{-4}$ m/s, leakage declines with decrease of k_{global} after peaking when $k_{global} \approx 1\,\times\,10^{-5}$ m/s. Additionally, when k_{global} drops below 5 × 10⁻⁶ m/s, increased surface runoff becomes a significant factor in reducing leakage. Specifically, for *kglobal* decreases from 5 \times 10^{-6} to 1 \times 10^{-7} m/s, surface runoff increases from 0.5% to 82% of the total precipitation.

5.4.2. Depth of differential settlement

The depth of differential settlement varies depending on factors such as type of waste, water content and/or whether it is frozen when placed, uniformity of materials as placed, etc. For instance, Scalia et al. [\(2017\)](#page-16-0) reported a 300-mm-deep differential settlement in a landfill. Therefore, understanding the impact of varying depression depths is crucial for predicting leakage levels and designing appropriate remediation

measures. Keeping all other parameters consistent for Sections B and C in period 19, increasing the settlement depth from 150 mm to 450 mm for Sections B and C significantly increases leakage [\(Fig.](#page-14-0) 18). The absolute difference in leakage between Sections B and C for different *kglobal* is mostly stable, suggesting that the strategy of filling the surface trough becomes less effective in controlling leakage as the depression depth increases over 150 mm.

5.4.3. Upgradient slope length

In the present field experiments, the depression started only 3 m downgradient of the crest. However, differential settlement can occur anywhere along a slope. Numerical analyses demonstrated that the leakage increases approximately linearly with the distance between the crest of the slope and the depression [\(Fig.](#page-14-0) 19). This is because the catchment area above the depression proportionally expands as the upgradient length increases, indicating that a hole positioned lower on a slope will have a more significant impact on the leakage than one near the crest (or bench), other things being equal. The numerical results showed there is only internal flow in soil (no surface runoff) for the different upgradient slope lengths examined.

5.4.4. Location of the GMB hole

In the current experiment, the hole in the liner was 200–300 mm from the lowest contour along the depression's base in Sections B and C ([Fig.](#page-3-0) 3). However, leakage is anticipated to be greater if the GMB hole is located at the lowest point of the depression, where the water head is higher. Furthermore, due to the maximum strain in areas adjacent to differential settlement [\(Tano](#page-16-0) et al., 2018; Villard and Briancon, 2008), the hole may naturally occur at this lowest contour.

An analysis was conducted to quantify the potential increase in leakage if the GMB hole is located at the bottom of the depression. [Fig.](#page-14-0) 20 shows that, for $k = 2.2 \times 10^{-4}$ m/s, leakage in Section B increases from 31.8 L to 47.1 L, and in Section C from 19.5 L to 38.6 L if the hole moves from the original site location (referenced as "Base" in [Table](#page-12-0) 6) to the bottom contour. This effect is larger at a lower $k=2.5\times10^{-5},$ with leakage in both sections increasing by around 50 L when the hole is at the bottom. This indicates the potential for higher leakage in practical scenarios involving differential settlement-related defects that are located at the bottom contour. Consequently, for a cautious approach in leakage prediction, models should consider the hole to be at the lowest point of the depression.

5.4.5. Slope gradient of waste covers

The field experiment utilized a 25% (14◦) slope that is widely used in waste cover designs (Stark and [Newman,](#page-16-0) 2010). However, a gradient as low as 5% (2.9◦) may be considered appropriate for slope stability purposes and erosion control. Thus, the effect of three common slope gradients (5%, 9% and 25%) on leakage was investigated for Section C. The numerical results indicated no surface runoff for all three cases. The other findings are summarized below.

The relationship between slope gradient ([Fig.](#page-14-0) 21) and leakage could be characterized by three power law functions of the hydraulic conductivity of the cover soil. This trend is attributed to steeper slopes facilitating faster water flow downslope, reducing both the magnitude and duration of water pressure head above the hole. Notably, [Fig.](#page-14-0) 21 also shows that the leakage increases much more rapidly when the slope gradient decreases to below 9%, indicating that differential settlement significantly impacts leakage on gentler slopes even when the surface trough is repaired as Section C.

6. Summary

The leakage through an 11 mm-diameter hole in a plastic liner placed on a 4H:1V slope over 15 months of monitoring of field Sections A, B and C, during which time the total precipitation was 1258 mm, has been reported and analyzed. The main observations are summarized below.

- During the 15-month study, the total leakage measured 244 L in the section with the surface trough repaired (140 mm deep; Section C) was only 43% of the 565 L observed for a similar depression that has not been backfilled (Section B).
- The relative effect of backfilling varied seasonally. In the warmer months, between June to October (2022), the section with the surface trough repaired had leakage of 55% of that in unrepaired Section B (142 L). However, this ratio decreased to 39% in the colder months (between November 2022 and March 2023; 138 L in repaired section vs 351 L in unrepaired section).

7. Conclusions

For the materials and field conditions examined, the following conclusions were reached based on the field data.

- 1. On a 4H:1V slope, backfilling a differential settlement trough (3 m \times 2 m, depth 0.14 m) reduced leakage through a hole in the underlying geomembrane by 57%.
- 2. Despite lower precipitation in colder seasons, the leakage through geomembrane holes increases in those months, and filling the surface trough is particularly effective in reducing leakage.
- 3. Water was able to flow through the cover sand and cause leakage even when theair temperature was below freezing, because snow and sand serve as effective insulators to maintain soil temperature consistently above freezing.

The numerical results indicate the following findings.

- 4. The leakage through a GMB hole is inversely related to hydraulic conductivity (*k*) when $1 \times 10^{-5} < k < 1 \times 10^{-3}$ m/s, and directly related to *k* when $k < 1 \times 10^{-5}$ m/s.
- 5. The leakage increases significantly as the settlement depression depth increases from 150 mm to 450 mm. Filling the surface trough is less effective in controlling leakage as the depression depth increases.
- 6. The further down the slope a depression occurs, the greater the resulting leakage, which increases nearly linearly with the upgradient slope length of the depression
- 7. For a given settlement depression, the leakage through a hole in a geomembrane below that settlement depression increases with decreasing slope angle, with a significant increase in leakage if the slope gradient falls below 5◦.

Data

Some or all data used are available from the corresponding author by request.

CRediT authorship contribution statement

Y.H. Fan: Writing – original draft, Validation, Investigation, Formal analysis, Data curation, Conceptualization. **R. Kerry Rowe:** Writing – review & editing, Validation, Supervision, Project administration, Methodology, Investigation, Funding acquisition, Formal analysis, Data curation, Conceptualization. **Richard W.I. Brachman:** Validation, Supervision, Methodology, Investigation, Data curation, Conceptualization. **Jamie F. VanGulck:** Writing – review & editing, Supervision, Resources, Methodology, Investigation, Funding acquisition, Formal analysis, Conceptualization.

Declaration of competing interest

The authors declare there are no competing interests.

Data availability

Data will be made available on request.

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